



Your Retirement Fit Analysis

DAY

DATE

TIME

ADVISOR

We look forward to getting together with you. If you have any questions or require special assistance prior to your visit, please call our office at 623.544.3424.

Please bring the following items to your visit:

1. *ALL* brokerage firm statements
2. *ALL* life insurance and annuity policies
3. *ALL* IRA and retirement account statements
4. Two years of tax returns
5. Social Security statements (obtain at www.ssa.gov)



& ASSOCIATES INC.
RETIREMENT WEALTH STRATEGISTS

CONTACT INFORMATION

Name: _____ Nickname: _____ Date of Birth: _____

Working At/ Retired From: _____ Current/Former Occupation: _____ Retired? _____

Spouse Name: _____ Nickname: _____ Date of Birth: _____

Working At/ Retired From: _____ Current/Former Occupation: _____ Retired? _____

Cell: _____ Email: _____ Spouses Cell: _____ Spouses Email: _____

Primary Address
Street/City/State/Zip _____

Were you referred to us? YES NO If so, by whom? _____

FAMILY

Children's Names	Age	Number of Grandchildren	Grandchildren Ages
Child 1			
Child 2			
Child 3			
Child 4			
Child 5			

Who are your trustees and/or executor? _____

Do any of your children or grandchildren have special needs? YES NO

Are any of your children or grandchildren listed as joint owners? YES NO

LEGAL ITEMS

Which of the following documents do you have? Yes No

Will

Power of Attorney (POA) Assets

Power of Attorney (POA) Health

Living Will

Date Last Updated _____

Living Trust

Date Last Updated _____

ADD'L ITEMS

Which of the following do you have? Yes No

Umbrella Policy

Long-Term Care Insurance

Have you prepaid your funeral?

Life Insurance

Death Benefit \$ _____

Whole Term Universal

Life Insurance

Death Benefit \$ _____

Whole Term Universal

CASH FLOW

Please list **monthly income** from each source:

	Spouse #1	Spouse #2
Social Security	_____	_____
Pension	_____	_____
(Survivor Options)	_____	_____
Wages	_____	_____
Other Income	_____	_____

Are these amounts net or gross? Gross Net

How much are your monthly expenses? _____

Some common expenses: *Mortgage, food, gas, car loan, insurance, utilities, gifts/donations, medical, taxes, Social Security, etc.*

Is your current cash flow sufficient and comfortable?	
Do you take any withdrawals from your retirement savings to meet your current budget?	
Do you anticipate any significant changes in cash flow?	
Are you planning any major lifestyle changes?	
Do you foresee any large purchases greater than \$5,000 within the next 3 years?	
Do you contribute to charity?	

LIFE EVENTS

In the near future I expect to: (Please check all that apply)

- Buy a home
- Sell a home
- Improve a home
- Retire
- Care for a parent
- Start/expand a business
- Pay off debt
- Start a part-time job
- Help fund education costs for a family member
- Sell a property
- Receive an inheritance
- Purchase a property
- Other _____

ASSETS

Assets: Please check all the accounts you currently hold and note the approximate value. Bring the latest statement with you on your visit.

- Bank / Credit Union Accounts _____
- CDs _____
- Mutual Funds / Stocks / Bonds _____
- Brokerage Accounts _____
- Business Interest _____
- Annuities _____
- Retirement Accounts from Work _____
- IRAs / 401(k) / 403(b) / Keoghs / TSAs _____
- Life Insurance _____
- Long-Term Care Insurance _____
- Promissory Notes / Contract for Deed _____
- Other Assets _____

Property:

	Balance Owed		Payment	Pay off date
Home Value \$ _____	/ \$ _____		/ _____	
Autos and Personal Property \$ _____	/ \$ _____		/ _____	
Rental/Additional Properties \$ _____	/ \$ _____		/ _____	

ADD'L INFORMATION

- If you are not already retired, when do you want to retire? _____
- How did you acquire your wealth? _____
- Who else do you rely on for financial advice and decisions? _____
- If something were to happen to you tomorrow, who do you want taken care of? _____
- Please pick the top two for your retirement "nest egg" money. Why? Safety _____ Liquidity _____ Growth _____ Income _____
- How would you describe your investment knowledge? None _____ Average _____ Limited _____ Good _____ High _____ Expert _____
- Spouse #1 Health _____ Spouse #2 Health _____

CONCERNS

Which of the following are your top three concerns?

- Losing too much money in the stock market
- Avoid paying too much in taxes
- Considering retirement and not sure if I/we can afford to
- Not having a reliable income plan for retirement
- Need direction with 401(k) and/or IRA
- Concerned about giving away life savings due to a catastrophic illness
- Leaving a legacy to children and/or grandchildren
- Outliving nest egg
- Uncertainty about stock market

OBJECTIVES

Which of the following describes your risk tolerance when it comes to retirement assets? Conservative Moderate Aggressive

What annual rate of return are you seeking? _____

What is the maximum amount you're willing to lose in a given year? _____

What are your financial objectives? (Check all that apply.)

- Income now / later
- Reduce risk
- Growth potential
- Reduce taxes
- Reduce fees
- Pass to beneficiaries

Directions to Our Office

9220 W. Union Hills Dr.
Suite 101
Peoria, AZ 85382

From Festival Area:

Head east on W. Bell Rd.
Turn left on N. 115th Ave.
Turn right onto W. Union Hills Dr.

From Vistancia Area:

Head south on N. Vistancia Blvd. to W. Happy Valley Pkwy
Turn right onto 91st Ave.
Turn right onto W. Union Hills Dr.

From the 101 Northbound:

Take Exit 15 to Union Hills Dr.
Turn left onto Union Hills Dr.

From the 101 Westbound:

From AZ-101 Loop W. take Exit 16 toward Beardsley Rd. / Union Hills Dr.
Use the middle lane to follow signs for AZ-101 Loop / Union Hills Dr.
Use the right lane to follow signs for AZ-101 Loop / Union Hills Dr.
Turn right on to W. Union Hills Dr.



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www.pspassoc.com

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We are an independent financial services firm helping individuals create retirement strategies using a variety of investment and insurance products to custom suit their needs and objectives. We would enjoy the opportunity to meet with you to discuss how those products may fit into your retirement strategy.

Investing involves risk, including the potential loss of principal. No investment strategy can guarantee a profit or protect against loss in periods of declining values. Any references to protection benefits or lifetime income generally refer to fixed insurance products, never securities or investment products. Insurance and annuity product guarantees are backed by the financial strength and claims-paying ability of the issuing insurance company. Nothing in this communication shall constitute an offer to sell or solicit any offer to buy a security or any insurance product.

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